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11-21-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ALBERT et al.

Serial No.: 09/430,412

Filed: October 29, 1999

Atty. File No.: 2268UO-1

For: "MUTATIONS OF THE 5' REGION  
OF THE HUMAN 5-HT1A GENE,  
ASSOCIATED PROTEINS OF THE  
5' REGION AND A DIAGNOSTIC  
TEST FOR MAJOR DEPRESSION  
AND RELATED MENTAL ILLNESSES")

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This response is filed in response to the Communication from the Examiner mailed October 2, 2002 regarding the Sequence Listing for the above-identified patent application. This response is believed to be timely and therefore, no fees are enclosed. In the event that fees are due in connection with this response, please debit Deposit Account No. 19-1970.

Prior to the Examiner's review of the above-identified application on the merits, please enter the following Preliminary Amendment.

IN THE SPECIFICATION:

On page 1, immediately following the title of the application, please add the following new subheading and paragraph:

**--CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims the benefit of priority under 35 U.S.C. § 119(e) from U.S. Provisional Application Serial No. 60/106,375, filed October 30, 1998.--

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 ON <u>11/21/02</u> SHERIDAN ROSS, P.C. BY: <u>F. Charles Russell</u>	

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